**Form PTO-1449 (modified)**

List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO. 5181-72200

APPLICANT: Slaughter et al.

FILING DATE: October 19, 2000

SERIAL NO. 09/693,672

GROUP: 2681

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

H.P.	B1	"Java™ Remote Method Invocation Specification," Sun Microsystems, Inc., <java.sun.com/products/dk1.2beta1>, 1997.
	B2	Agha, et al., "Actorspaces: An Open Distributed Programming Paradigm," University of Illinois, Report No. UIUCDCS-R-92-1766, Open Systems Laboratory TR NO. 8, pp. 1-12, November 1992.
	B3	Ahmed, et al., "A Program Building Tool for Parallel Applications," Yale University, pp. 1-23, December 1, 1993.
	B4	Aldrich, et al., "Providing Easier Access to Remote Objects in Client-Server Systems," System Sciences, 1998, Proceedings of the 31 <sup>st</sup> Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 366-375.
	B5	Aldrich, et al., "Providing Easier Access to Remote Objects in Distributed Systems," Calif. Institute of Technology, www.cs.Caltech.edu/%7Ejedi/paper.html, Nov. 21, 1997.
	B6	Anderson, et al., "Persistent Linda: Linda + Transaction + Query Processing," Proceedings of the 13 <sup>th</sup> Symposium on Fault Tolerant Systems, pp. 93-109, 1991.
	B7	"Transparent Network Computing," Locus Computing Corporation, January 5, 1995.
	B8	Alexander, et al., "Active Bridging," Proceedings of the ACM/SIGCOMM'97 Conference, Cannes, France, September, 1997.
	B9	Beech, et al., "Object Databases as Generalizations of Relational Databases," Computer Standards & Interfaces, Vol. 13, Nos. 1/3 pp. 221-230, Amsterdam, NL, January 1991.
	B10	Bertino, et al., "Object-Oriented Database Management Systems: Concepts and issues," Computer, Vol. 24, No. 4, pp. 33-47, Los Alamitos, CA, April 1991.
	B11	Betz, et al., "Interoperable Objects: Laying the Foundation for Distributed Object Computing," Dr. Dobb's Journal, Vol. 19, NO. 11, page 18(13), October 1994.
	B12	Bevan, et al., "An Efficient Reference Counting Solution To The Distributed Garbage Collection Problem," Parallel Computing, NL, Elsevier Science Publishers, Amsterdam, Vol. 9, No. 2, pages 179-192, January 1989.
	B13	Birrell, et al., "Distributed Garbage Collection for Network Objects," Digital Systems Research Center, NO. 116, pages 1-18, December 15, 1993.
	B14	Birrell, et al., "Grapevine: An Exercise in Distributed Computing," Communications for the ACM, Vol. 25, No. 4, pp. 260-274, April 1982.
	B15	Birrell, et al., "Network Objects," DEC SRC Research Report 115, February 28, 1995.
	B16	Birrell, et al., "Implementing Remote Procedure Calls," ACM Transactions on Computer Systems, Vol. 2, No. 1, pp. 39-59, February 1994.
	B17	Birrell, et al., "Network Objects," Operating Systems Review, 27(5), pp. 217-230, December 1993.
	B18	Cannon, et al., "Adding Fault-Tolerant Transaction Processing to LINDA," Software-Practice and Experience, Vol. 24(5), pp. 449-466, May 1994.
	B19	Cardelli, "Obliq, A Lightweight Language For Network Objects," Digital SRC, pp. 1-37, November 5, 1993.
✓	B20	Carriero, et al., "Distributed Data Structures in Linda," Principles of Programming Language, pp. 1-16, 1986.

EXAMINER:

DATE CONSIDERED:

11/3/06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

<b>Form PTO-1449 (modified)</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5181-72200  APPLICANT: Slaughter et al.  FILING DATE: October 19, 2000	SERIAL NO. 09/693,672  GROUP: 2681
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
H.P.	B21	Carriero, et al., "Distributed Data Structures in Linda," Yale Research Report YALEU/DCS/RR-438, November 1985.	
	B22	Chung, et al., "A Tiny' Pascal Compiler: Part 1: The P-Code Interpreter," BYTE Publications, Inc., September 1978.	
	B23	Chung, et al., "A Tiny' Pascal Compiler: Part 2: The P-Compiler," BYTE Publications, Inc., October 1978.	
	B24	Dave, et al., "Proxies, Application Interface, And Distributed Systems," Proceedings International Workshop On Object Orientation In Operating Systems, pages 212-220, September 24, 1992.	
	B25	Deux, et al., "The O2 System," Communications Of The Association For Computing Machinery, Col. 34, No. 10, pages 34-48, October 1, 1991.	
	B26	Dijkstra, "Self-stabilizing Systems in Spite of Distributed Control," Communications of the ACM, Vol 17, No. 11, pp. 643-644, November 1974.	
	B27	Dolev, et al., "On the Minimal Synchronism Needed for Distributed Consensus," Journal of the ACM, Vol. 34, NO. 1, pp. 77-97, January 1987.	
	B28	Dollimore, et al., "The design of a System for Distributing Shared Objects," The Computer Journal, No. 6, Cambridge, GB, December 1991.	
	B29	Dourish, "A Divergence-Based Model of Synchrony and Distribution in Collaborative Systems," Xerox Technical Report EPC-1194-102, pp. 1-10, 1994.	
	B30	Drexler, et al., "Incentive Engineering for Computational Resource Management," The Ecology of Computation, Elsevier Science Publishers B.V., pp. 231-266, 1988.	
	B31	Gelernter, et al., "Parallel Programming in Linda," Yale University, pp. 1-21, January 1995.	
	B32	Droms, "RFC 1541 Dynamic Host Configuration Protocol," < <a href="http://www.cis.ohio-state.edu.htbin/rfc/rfc1541.html">http://www.cis.ohio-state.edu.htbin/rfc/rfc1541.html</a> >, pages 1-33, October 1993.	
	B33	Emms, "A Definition Of AN Access Control Systems Language," Computer Standards And Interfaces, Vol. 6, No. 4, pp. 443-454, January 1, 1997.	
	B34	Fleisch, et al., "High Performance Distributed Objects Using Distributed Shared Memory & Remote Method Invocation," System Sciences, 1998, Proceedings of the 31 <sup>st</sup> Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 574-578.	
	B35	Gelernter, "Generative Communication in Linda," ACM Transactions on Programming Languages and Systems, Vol. 7, No. 1, pp. 80-112, January 1985.	
	B36	Gottlob, et al., "Extending Object-Oriented Systems with Roles," ACM Transactions On Information Systems, Vol. 14, NO. 3, pp. 268-296, July 1996.	
	B37	Gray, et al. "Leases: An Efficient Fault-Tolerant Mechanism for Distributed File Cache Consistency," ACM, pp. 202-210, 1989.	
	B38	Guth, "JavaOne: Sun to Expand Java Distributed Computing Effort," < <a href="http://www.sunworld.com/swol-02-1998/swol-02-sunspots.html">http://www.sunworld.com/swol-02-1998/swol-02-sunspots.html</a> >, XP-002109935, p.1, February 20, 1998.	
✓	B39	Guyennet, et al., "A New Consistency Protocol Implemented in the CaliF System," IEEE, 1094-7256/97, pp. 82-87, 1997.	

EXAMINER:



DATE CONSIDERED:

11/3/06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

<b>Form PTO-1449 (modified)</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5181-72200	SERIAL NO. 09/693,672
	APPLICANT: Slaughter et al.	GROUP: 2681
	FILING DATE: October 19, 2000	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

H-P-	B40	Guyennet, et al., "Distributed Shared Memory Layer for Cooperative Work Applications," IEEE, 0742-1303/97, pp. 72-78, 1997.
	B41	Hamilton, et al., "Subcontract: A Flexible Base for Distributed Programming," Proceedings of 14 <sup>th</sup> Symposium of Operating System Principles, December 1993.
	B42	Hamilton, "Java and the Shift to Net-Centric Computing," Computer, pp. 31-39, August 1996.
	B43	Harris, et al., "Proposal for a General Java Proxy Class for Distributed Systems and Other Uses," Netscape Communications Corp., June 25, 1997.
	B44	Hartman, et al., "Liquid Software: A New Paradigm For Networked Systems," Technical Report 96-11, Dept. of Comp. Sci., Univ. of Arizona, June 1996.
	B45	Howard, et al., "Scale and Performance in a Distributed File System," ACM Transactions on Computer Systems, Vol. 6, No. 1, pp. 51-81, February 1988.
	B46	Pier, "A Retrospective on the Dorando, A High-Performance Personal Computer," Xerox Corporation, August 1983.
	B47	Pinakis, "Using Linda as the Basis of an Operating System Microkernel," University of Western Australia, Dept. of Computer Science, pp. 1-165, August 1993.
	B48	Riggs, et al., "Picking State in the Java <sup>TM</sup> System," USENIX, Association Conference on Object-Oriented Technologies and Systems, CP-002112719, pp. 241-250, June 17-21, 1996.
	B49	Rosenberry, et al., "Understanding DCE," Chapters 1-3, 6, 1992.
	B50	Sharrott, et al., "ObjectMap: Integrated High Performance Resources into a Distributed Object-oriented Environment," ICODP, 1995.
	B51	Stevenson, "Token-Based Consistency of Replicated Servers," IEEE, CH2686-4/89/0000/0179, pp. 179-183, 1989.
	B52	Thompson, "Regular Expression Search Algorithm," Communications of the ACM, Vol. II, No. 6, p. 149 et seq., June 1968.
	B53	Venners, "Jini Technology, Out of the Box," JAVAWORLD, 'Online!', pp. 1-4, December 1998.
	B54	Waldo, et al., "Events in An RPC Based Distributed System," Proceedings Of The 1995 USENIX Technical Conference, Proceedings USENIX Winter 1995 Technical Conference, New Orleans, LA, USA, 16-20, pages 131-142, January 1995.
	B55	Wilson, et al., "Design of the Opportunistic Garbage Collector," Proceedings of the Object Oriented Programming Systems Languages And Applications Conference, New Orleans, Vol. 24, No. 10, October 1989.
	B56	Wollrath, et al., "A Distributed Object Model for theJaca <sup>TM</sup> System," USENIX Association, Conference on Object-Oriented Technologies and Systems, June 17-21, 1996.
	B57	Wu, "A Type System For An Object-Oriented Database Systems," Proceedings of the International Computer Software and Applications Conference (COMPSAC), Tokyo, Japan, pp. 333-338, Sept. 11-13, 1991.
	B58	Yemini, et al., "Towards Programmable Networks," IFIP/IEEE International Workshop on Distributed Systems: Operations and Management, L'Aquila, Italy, October 1996.
✓	B59	Yin, et al., "Using Leases to Support Server Driven Consistency in Large-Scale Systems," Computer Services Department, University of Texas at Austin, p. 285-294, May 26-28, 1998.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

<b>Form PTO-1449 (modified)</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5181-72200  APPLICANT: Slaughter et al.  FILING DATE: October 19, 2000	SERIAL NO. 09/693,672  GROUP: 2681
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
H.P.	B60	Yin, et al., "Volume Leases for Consistency in Large-Scale Systems," IEEE Transactions on Knowledge & Data Engineering, Vol. 11, No. 4, pp. 563-576, July/August 1999.	
	B61	Mitchell, et al., "An Overview of the Spring System," February 1994.	
	B62	Mitchell, et al., "Mesa Language Manual," Xerox Corporation, Palo Alto Research Center, 1978.	
	B63	McDaniel, "An Analysis of a Mesa Instruction Set," Xerox Corporation, May 1982.	
	B64	McGrath, "Discovery and its Discontents: Discovery Protocols for Ubiquitous Computing," Presented at Center for Excellence in Space Data and Information Science, NASA Goddard Space Flight Center, April 5, 2000.	
	B65	Mummert, et al., "Long Term Distributed File Reference Tracing: Implementation and Experience," Carnegie Mellon University School of Computer Science, pp. 1-28, November 1994.	
	B66	Orfali, et al., "The Essential Distributed Objects Survival Guide," Chapter 11: Corba Commercial ORBs, pages 203-215, John Wiley & Sons, Inc., 1996.	
	B67	Ousterhout, et al., "The Sprite Network Operating System," Computer, IEEE, pp. 23-36, February 1988.	
	B68	Pier, "A Retrospective on the Dorando, A High-Performance Personal Computer," IEEE Conference Proceedings, The 10 <sup>th</sup> Annual International Symposium on Computer Architecture," 1993.	
	B69	Hunt, "IDF: A Graphical Data Flow Programming Language for Image Processing and Computer Vision," Proceedings of the International Conference on Systems, Man, and Cybernetics, pp. 351-360, Los Angeles, Nov. 4-7, 1990.	
	B70	IBM™ Technical Disclosure Bulletin, "Object Location Algorithm," Vol. 36, No. 09B, pp. 257-258, September 1993.	
	B71	IBM, "Chapter 6 - Distributed SOM (DSOM)," SOMobjects Developer Toolkit Users Guide, Version 2.1, pages 6-1-6-90, October 1994.	
	B72	Anonymous, "Change-Notification Service for Shared Filed," IBM Technical Disclosure Bulletin, Vol. 36, No. 8, pages 77-82, XP002109435 New York, US, November 1973.	
	B73	IBM™ Technical Disclosure Bulletin, "Retrieval of Qualified Variables Using Extendible Hashing," Vol. 36, No. 12, pp. 301-303, December 1993.	
	B74	Anonymous, "Resource Preemption for Priority Scheduling," IBM TECHNICAL DISCLOSURE BULLETIN, Vol. 16, No. 6, page 1931, XP002109435 New York, US, November 1973.	
	B75	IBM™ Technical Disclosure Bulletin, "Local Network Monitoring to Populate Access Agent Directory," Vol. 36, No. 09A, pp. 403*405, September 1993.	
	B76	MUX-Elektronik, Java 1.1 Interactive Course, www.lls.se/~mux/javaic.html, 1995.	
	B77	Jones, et al., "Garbage Collection: Algorithms for Automatic Dynamic Memory Management," pages 165-175, John Wiley & Sons, 1996.	
	B78	Kambhatla, et al., "Recovery with Limited Replay: Fault-Tolerant Processes in Linda," Oregon Graduate Institute, Technical Report CSIE 90-019, pp. 1-16, September 1990.	
✓	B79	Kay, et al., "An Overview of the Raleigh Object-Oriented Database System," ICL Technical Journal, Vol. 7, No. 4, pp. 780-798, Oxford, GB, November 1991.	

EXAMINER:

DATE CONSIDERED:

11/2/06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

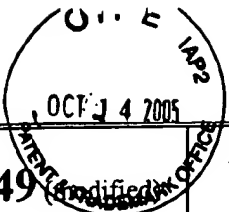
[illegible]

**EXAMINER:**

**DATE CONSIDERED:**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

**Information Disclosure Statement--PTO 1449 (modified)**

**Form PTO-1449** (modified)

List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO. 5181-72200

APPLICANT: Slaughter et al.

FILING DATE: October 19, 2000

SERIAL NO. 09/693,672

GROUP: 2154

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
H.P.		6,157,960	12/5/00	Kaminsky et al.			
		5,956,509	9/21/99	Kevner			
		6,134,603	10/17/00	Jones et al.			
		6,408,342	6/18/02	Moore et al.			
		6,104,716	8/15/00	Crichton et al.			
		6,016,516	1/18/00	Horikiri			
		6,654,793	11/25/03	Wollrath et al.			
		6,263,379	7/17/01	Atkinson et al.			
		6,212,578	4/3/01	Racicot et al.			
		5,999,988	12/7/99	Pelegri-Llopart et al.			
		6,282,568	8/28/01	Sondur et al.			
		5,671,279	9/23/97	Elgamal			
		6,185,611	2/6/01	Waldo et al.			
		5,548,726	8/20/96	Pettus			
		6,216,158	4/10/01	Luo et al.			
✓		2002-0059212	5/16/02	Takagi			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**


H.P.	E1	Wobbler et al., "Authentication in the Taos Operating System," SIGOPS '93, December 1993, (pages 256-269)
H.P.	E2	M. Satyanarayanan, "Integrating Security in a Large Distributed System," ACM Transactions on Computer Systems, Vol. 7, No. 3, August 1989, (pages 247-280)

EXAMINER:

DATE CONSIDERED:

11/3/06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5181-72200  APPLICANT: Slaughter et al.  FILING DATE: October 19, 2000	SERIAL NO. 09/693,672  GROUP: 2154
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
H-P.	E3	Andrew P. Black, "The Eden Project: Overview and Experiences," EUUG, September 22-25, 1986, (pages 177-189)	
	E4	Guy T. Almes, "The Evolution of the Eden Invocation Mechanism" Technical Report 83-01-03, January 19, 1983, (15 pages)	
	E5	Beogle et al., "Transparent Sharing of Java <sup>TM</sup> Applets: A Replicated Approach", IIST 97 Banff, 1997 (pages 55-64)	
	E6	Jul et al., "Fine-Grained Mobility in the Emerald System," ACM Transactions on Computer Systems, Vol. 6, No.1, February 1988, (pages 109-133)	
	E7	Andrew P. Black, "The Eden Programming Language," Technical Report 85-09-01, September, 1985, (19 pages)	
	E8	Eric Jul, "Object Mobility in a Distributed Object-Oriented System," Department of Computer Science, 1989 (pages 1-155)	
	E9	Israel et al., "Authentication in Office Systems Internetworks," ACM Transactions on Office System Information Systems, Vol. 1, No. 3, July 1983 (pages 193-210)	
	E10	Scroeder et al., "Experience with Grapevine: The Growth of a Distributed System", ACM Transactions on Computer System, Vol. 2, No. 1, February 1984, (pages 3-23)	
	E11	Tanenbaum et al., "Distributed Operating Systems," Computer Surveys, Vol. 17, No. 4, December 1985, (pages 419-470)	
	E12	Jennings et al., "Using Intelligent Agents to Manage Business Processes," (16 pages)	
	E13	Douglas Hodges, "Managing Object Lifetime in OLE," August 25, 1994, <a href="http://www.scit.vlv.ac.uk">www.scit.vlv.ac.uk</a> (41 pages)	
	E14	"Java <sup>TM</sup> Remote Method Innovation Specification," Revision 1.4. JDK 1.1 FCS. February 10, 1997, (page 1-90)	
	E15	Felix Samson Hsu, "Reimplementing Remote Procedure Calls," Thesis - University of Washington, March 22, 1985, (pages 1-106)	
	E16	Ciancarini et al., "Coordinating Distributed Applets with Shade/Java," Dipartimento di Scienze dell'Informazione, University of Bologna - Italy, 1998 ACM 0-89791-969-6/98/0002, (pages 130-138)	
	E17	Lampson et al., "Authentication in Distributed Systems: Theory and Practice," ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, (pages 265-310)	
	E18	Koshizuka, et al., "Window Real Objects: A Distributed Shared Memory for Distributed Implementation of GUI Applications," UIST '93, November 3-5, 1993 (pages 237-247)	
✓	E19	Gerhard Steinke, "Design Aspects of Access Control in a knowledge Base System," Computers & Security, 10, 1991, (pages 612-625)	
EXAMINER: 		DATE CONSIDERED: 1/3/06	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.			

[illegible]